FORM PTO-1449 (Modified) ATTY, DOCKET NO. SERIAL NO. 091932,986 LIST OF PATENTS AND PUBLICATIONS FOR APPLICANT'S INFORMATION DISCLOSURE APPLICANTS: MARK S.F. CLARKE and **STATEMENT** DANIEL L. FEEBACK OCT 2 5 2001 (Use several sheets if necessary) FILING DATE GROUP 1754 08-21-2001 REFERENCE DESIGNATION U.S. PATENT DOCUMENTS EXMINER 12 **FLING DATE** BUTTAL **COCUMENT NUMBER** DATE NHE CLASS SALDGLES **F APPROPRIATE** 187823P413/01 HADOON ETAL. M Æ AC AD Æ NF AG AH N N FOREIGN PATENT DOCUMENTS TRANSLATION DOCUMENT NUMBER DATE COUNTRY ans SUBCLASS YES MO AN AN 10 **OTHER** ART (including Author, Title, Date, Pertinent Pages, Etc.) Kevin D. Ausman et al. Organic Solvent Dispersions of Single-Walled Carbon Nanotubes: Toward Solutions of Pristine Nanotubes. July 19, 2000 J. Phys. Chem. æ American Chemical Society AT **EXAMINER** DATE CONSIDERED EXAMINER: Initial if reference considered, whether or not citation is in conformance with MPEP 609; Draw line through citation if not in conformance and not considered. Include copy

of this form with next communication to applicant.

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## INFORMATION DISCLOSURE STATEMENT BY APPLICANT

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Sheet 1 of 2

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TRADEM	Complete if Known	na Color		
Application Number	09/932,986	O MAY CA		
Filing Date	08/21/2001	9/0 1 5/1		
First Named Inventor	Mark S.F. Clarke	(), 0,		
Group Art Unit	1754	Un 1000 0		
Examiner Name	LISH, Peter J.	7.		
Attorney Docket Number	USRA-SWCNT VI	100		

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Examiner Initials*		U.S. Paten	t Document		Date of Publication of Cited
	Cite No.1	Number Kind Code <sup>2</sup> (if known)		Name of Patentee or Applicant of Cited Document	Document MM-DD-YYYY
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<sup>&</sup>lt;sup>1</sup> Unique citation designation number.

<sup>&</sup>lt;sup>2</sup> See attached Kinds of U.S. Patent Documents.

<sup>&</sup>lt;sup>3</sup> Enter Office that issued the document, by the two-letter code (WIPO Standard ST.3).

<sup>&</sup>lt;sup>4</sup> For Japanese patent documents, the indication of the year of the reign of the Emperor must precede the serial number of the patent document.

<sup>&</sup>lt;sup>5</sup> Kind of document by the appropriate symbols as indicated on the document under WIPO Standard ST. 16 if possible.

<sup>&</sup>lt;sup>6</sup> Applicant is to place a check mark here if English language Translation is attached.

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<sup>&</sup>lt;sup>1</sup> Enter Office that issued the document, by the two-letter code (WIPO Standard ST.3).
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